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DERIVATIONS OF OCTONION MATRIX ALGEBRAS

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ABSTRACT. It is well-known that the exceptional Lie algebras \mathfrak{f}_4 and \mathfrak{g}_2 arise from the octonions as the derivation algebras of the 3×3 hermitian and 1×1 antihermitian matrices, respectively. Inspired by this, we compute the derivation algebras of the spaces of hermitian and antihermitian matrices over an octonion algebra in all dimensions.

1. INTRODUCTION

In [1], Benkart and Osborn calculate the derivation algebra for the algebra of $n \times n$ matrices with entries in an arbitrary unital algebra, under the standard matrix product, the commutator product, and the anticommutator product. In the case that the unital algebra is an octonion algebra over a field \mathbb{F} , their results show that for both the standard product and the anticommutator, the derivation algebra is $\mathfrak{g}_2 \oplus \mathfrak{gl}_n(\mathbb{F})$; while in the commutator case it is the direct sum of this with \mathbb{F} .

The exceptional Lie algebra \mathfrak{f}_4 can be constructed as the derivation algebra of the exceptional Jordan algebra, which is the set of 3×3 hermitian matrices with entries in an octonion algebra, under the anticommutator product. If we increase the size of these matrices then we lose the Jordan property but still get well defined algebras. It is then natural to ask what the corresponding derivation algebras are, and to do the same for antihermitian (or skew-hermitian) matrices. Our answers are:

Theorem. *If $n \geq 4$ then $\text{der}(\mathfrak{h}_n(\mathbb{O})) = \mathfrak{g}_2 \oplus \mathfrak{so}_n(\mathbb{F})$.*

Theorem. *$\text{der}(\mathfrak{a}_n(\mathbb{O})) = \mathfrak{g}_2 \oplus \mathfrak{so}_n(\mathbb{F})$ for all natural numbers n .*

This is strongly reminiscent of Benkart and Osborn's results, so since every matrix decomposes as the sum of a hermitian matrix with an antihermitian matrix, one might hope to use their methods. In practice, however, many of the tools they use break down in our case. This is mostly because, for us, entries on the diagonal come from a subspace of the octonion algebra.

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2. SET UP

Let \mathbb{F} be a field of characteristic not two, and let \mathbb{O} be an octonion algebra over \mathbb{F} . That is, \mathbb{O} is a unital, alternative, 8-dimensional \mathbb{F} -algebra with a nondegenerate quadratic form $|\cdot|^2 : \mathbb{O} \rightarrow \mathbb{F}$ which is multiplicative in the sense that $|zw|^2 = |z|^2|w|^2$. We call elements of \mathbb{O} *octonions*. The nucleus of \mathbb{O} is \mathbb{F} . More than being alternative, the nonzero octonions form a Moufang loop under multiplication. In particular they satisfy the left Moufang law

$$(1) \quad z(w(zu)) = (zwz)u$$

We distinguish two cases for \mathbb{O} . We say that \mathbb{O} is *Type I* if it has an orthonormal basis $1, e_1, \dots, e_7$ such that $e_i^2 = -1$ for all i , and *Type II* otherwise. From work of Jacobson [3, Sect. 3], if \mathbb{O} is Type II then it is split and has an orthonormal basis $1, e_1, \dots, e_7$ such that i) $e_i^2 = -1$ for $i \leq 3$ and $e_i^2 = 1$ otherwise; and ii) the \mathbb{F} -span of $1, e_1, e_2, e_3$ is isomorphic to ${}_{\mathbb{F}}\mathbb{H}$, the quaternions over \mathbb{F} . These basis elements anticommute, and consequently

$$(2) \quad e_i e_j e_i = -e_i e_i e_j = \pm e_j$$

Note that being Type I does not mean that \mathbb{O} is a division algebra – consider $\mathbb{F} = \mathbb{C}$, for example (for a classification of octonion algebras see [2]). In any case, the algebra $\text{der}(\mathbb{O})$ is simple of type \mathfrak{g}_2 [3, Thm. 6].

We denote conjugation in \mathbb{O} by a bar: if $z = z_0 + \sum_{i=1}^7 z_i e_i$ then $\bar{z} = z_0 - \sum_{i=1}^7 z_i e_i$. We write $\text{Re}(z) = z_0$ and call it the *real part* of z , even when the base field is not \mathbb{R} . Likewise, we call $\text{Im}(z) = \sum_{i=1}^7 z_i e_i$ the imaginary part of z , and we denote the set of all such purely imaginary octonions by $\text{Pu}(\mathbb{O})$. For more on octonions, see [4–6].

We are interested in certain spaces of matrices with entries in \mathbb{O} . Such a matrix x has a conjugate, x^* , which is obtained from x by taking the transpose and conjugating all the entries. If $x^* = x$ then we call it *hermitian*, and we denote the set of hermitian $n \times n$ matrices with entries in \mathbb{O} by $\mathfrak{h}_n(\mathbb{O})$. The anticommutator product $x \circ y = xy + yx$ makes $\mathfrak{h}_n(\mathbb{O})$ into an \mathbb{F} -algebra. Similarly, if $x^* = -x$ then we say x is *antihermitian*, and we write $\mathfrak{a}_n(\mathbb{O})$ for the set of antihermitian matrices, which is made into an \mathbb{F} -algebra by the commutator product $[x, y] = xy - yx$. We write E_{ij} for the matrix with a 1 in the ij^{th} place and zeros everywhere else.

Our aim is to calculate the derivation algebras $\text{der}(\mathfrak{h}_n(\mathbb{O}))$ and $\text{der}(\mathfrak{a}_n(\mathbb{O}))$. Note that $\mathfrak{h}_1(\mathbb{O}) = \mathbb{F}$ and $\mathfrak{a}_1(\mathbb{O}) = \text{Pu}(\mathbb{O})$, so $\text{der}(\mathfrak{h}_1(\mathbb{O})) = 0$ and $\text{der}(\mathfrak{a}_1(\mathbb{O})) = \mathfrak{g}_2$.

Let $\mathfrak{so}_n(\mathbb{F})$ be the algebra of antisymmetric $n \times n$ matrices with entries in \mathbb{F} under the commutator product. We use this to state an important lemma.

Lemma 2.1. *If $n > 1$ then both $\text{der}(\mathfrak{h}_n(\mathbb{O}))$ and $\text{der}(\mathfrak{a}_n(\mathbb{O}))$ have a subalgebra isomorphic to $\mathfrak{g}_2 \oplus \mathfrak{so}_n(\mathbb{F})$.*

Proof. An element of \mathfrak{g}_2 gives a derivation by acting on a matrix x entrywise. The action of $\mathfrak{so}_n(\mathbb{F})$ is the adjoint action: if $A \in \mathfrak{so}_n(\mathbb{F})$ then $\text{ad}_A : x \mapsto [A, x]$ is a derivation because A has entries in \mathbb{F} , meaning that $A^* = A^T = -A$. It is easy to check that the two actions commute, whence the direct summation. \square

3. HERMITIAN

For hermitian matrices, in dimensions 2 and 3 Jacobson tells us [7, Thm. 14]:

Theorem 3.1. *If the characteristic of \mathbb{F} is not two or three then $\text{der}(\mathfrak{h}_2(\mathbb{O})) = \mathfrak{so}_9(\mathbb{F})$ and $\text{der}(\mathfrak{h}_3(\mathbb{O})) = \mathfrak{f}_4$.*

This result extends earlier work of Chevalley and Schafer over algebraically closed fields of characteristic zero [8], and is the main motivation for the present work.

We henceforth consider $n \geq 4$ only in this section, and allow the characteristic of \mathbb{F} to be three. There are five types of nonzero product in $\mathfrak{h}_n(\mathbb{O})$:

- (3) $E_{ii} \circ E_{ii} = 2E_{ii}$
- (4) $E_{ii} \circ (zE_{ij} + \bar{z}E_{ji}) = zE_{ij} + \bar{z}E_{ji}$
- (5) $E_{jj} \circ (zE_{ij} + \bar{z}E_{ji}) = zE_{ij} + \bar{z}E_{ji}$
- (6) $(zE_{ij} + \bar{z}E_{ji}) \circ (wE_{ij} + \bar{w}E_{ji}) = 2\text{Re}(z\bar{w})(E_{ii} + E_{jj})$
- (7) $(zE_{ij} + \bar{z}E_{ji}) \circ (wE_{jk} + \bar{w}E_{kj}) = zwE_{ik} + \bar{w}\bar{z}E_{ki}$

By applying a derivation ∂ to these we can obtain constraints that ∂ must satisfy. We first do this for a special subset of derivations.

Proposition 3.2. *If $n \geq 4$ then the subalgebra of derivations $\partial : \mathfrak{h}_n(\mathbb{O}) \rightarrow \mathfrak{h}_n(\mathbb{O})$ such that $\partial(E_{ii}) = 0$ for all i is isomorphic to \mathfrak{g}_2 .*

Proof. From (4) and (5) we have

$$E_{ii} \circ \partial(zE_{ij} + \bar{z}E_{ji}) = \partial(zE_{ij} + \bar{z}E_{ji}) = E_{jj} \circ \partial(zE_{ij} + \bar{z}E_{ji})$$

and hence there are linear maps $\alpha^{ij} : \mathbb{O} \rightarrow \mathbb{O}$ such that

$$\partial(zE_{ij} + \bar{z}E_{ji}) = \alpha^{ij}(z)E_{ij} + \overline{\alpha^{ij}(z)}E_{ji}$$

Since $\partial(E_{ii}) = 0$ for all i , the α^{ij} determine ∂ . Note in particular that

$$(8) \quad \alpha^{ij}(z) = \overline{\alpha^{ji}(\bar{z})}$$

Applying ∂ to (6), we find in the ii^{th} place the equality

$$\alpha^{ij}(z)\bar{w} + \overline{w\alpha^{ij}(z)} + \overline{z\alpha^{ij}(w)} + \alpha^{ij}(w)\bar{z} = 0$$

which we can restate as

$$(9) \quad \operatorname{Re}(\alpha^{ij}(z)\bar{w}) + \operatorname{Re}(\alpha^{ij}(w)\bar{z}) = 0$$

Letting $z = w$ run through the standard basis of \mathbb{O} in (9) we get that both the real part of $\alpha^{ij}(1)$ and the e_k^{th} part of $\alpha^{ij}(e_k)$ are zero. By taking $z = e_k \neq e_l = w$ in (9) we get that the e_k^{th} part of $\alpha^{ij}(e_l)$ is the negative of the e_l^{th} part of $\alpha^{ij}(e_k)$. With $z = 1, w = e_k$ in (9) we get two cases. If \mathbb{O} is Type I then the e_k^{th} part of $\alpha^{ij}(1)$ is the negative of the real part of either $\alpha^{ij}(e_k)$, and hence $\alpha^{ij} \in \mathfrak{so}_8(\mathbb{F})$. On the other hand, if \mathbb{O} is Type II then the e_k^{th} part of $\alpha^{ij}(1)$ is equal to the real part of either $\alpha^{ij}(e_k)$ or its negative, depending on whether $k > 3$ or $k \leq 3$, respectively. Thus the matrix of α^{ij} has the form

$$(10) \quad \left(\begin{array}{c|cc} 0 & -v_1^T & v_2^T \\ \hline v_1 & & \\ v_2 & & A \end{array} \right) \in \mathbb{F}^{8 \times 8}$$

where $v_1 \in \mathbb{F}^3, v_2 \in \mathbb{F}^4$, and $A \in \mathfrak{so}_7(\mathbb{F})$. We now apply ∂ to (7), and find in the ik^{th} place the equality

$$(11) \quad \alpha^{ik}(zw) = \alpha^{ij}(z)w + z\alpha^{jk}(w)$$

In particular, if $e_t \neq e_r$ then

$$\alpha^{ik}(e_t e_r) = \alpha^{ij}(e_t) e_r + e_t \alpha^{jk}(e_r) \quad \text{and} \quad \alpha^{ij}(e_t e_r) = \alpha^{ik}(e_t) e_r + e_t \alpha^{jk}(e_r)$$

Comparing e_t^{th} parts, it follows from the form (10) of α^{ij} that if \mathbb{O} is Type II and $r > 3$ then $\operatorname{Re}(\alpha^{jk}(e_r)) = \operatorname{Re}(\alpha^{kj}(e_r))$, and so is zero by (8). In particular, irrespective of whether \mathbb{O} is Type I or Type II, we have

$$(12) \quad \alpha^{ij} \in \mathfrak{so}_8(\mathbb{F})$$

Returning to (11), the maps $\alpha^{ik}, \alpha^{ij}, \alpha^{jk}$ are said to be in triality, and in light of (12), any one uniquely determines the other two [6, p.42]. We use these trialities to show that all α^{ij} are equal.

If $j > 2$ then we have trialities $\alpha^{12}, \alpha^{1j}, \alpha^{j2}$. Since these share the same first map we have $\alpha^{1j} = \alpha^{13}$ and $\alpha^{2j} = \alpha^{23}$ whenever $j > 2$.

If $k > j > 2$ then the trialities $\alpha^{1j}, \alpha^{1k}, \alpha^{kj}$ share the same first map, so all α^{jk} with $k > j > 2$ are equal to α^{34} .

The two trialities $\alpha^{12}, \alpha^{14}, \alpha^{42}$ and $\alpha^{13}, \alpha^{14}, \alpha^{43}$ share the same second map, so $\alpha^{12} = \alpha^{13}$ and $\alpha^{24} = \alpha^{34}$. Hence if $k > j > 1$ then all α^{1j} are equal to α^{12} , and all α^{jk} are equal to α^{23} .

Finally, the two trialities $\alpha^{13}, \alpha^{14}, \alpha^{43}$ and $\alpha^{23}, \alpha^{24}, \alpha^{43}$ share the same third map, so $\alpha^{14} = \alpha^{24}$. Hence all the α^{ij} are equal to α^{12} . Writing $\alpha = \alpha^{12}$, we can now read (11) as:

$$\alpha(zw) = \alpha(z)w + z\alpha(w)$$

That is, $\alpha \in \operatorname{der} \mathbb{O} = \mathfrak{g}_2$, and ∂ is given by applying α to each entry. □

Theorem 3.3. *If $n \geq 4$ then $\operatorname{der}(\mathfrak{h}_n(\mathbb{O})) = \mathfrak{g}_2 \oplus \mathfrak{so}_n(\mathbb{F})$.*

Proof. Let ∂ be a derivation. Our strategy is to show that ∂ differs from one of the derivations of Proposition 3.2 by the adjoint action of an element of $\mathfrak{so}_n(\mathbb{F})$. Applying ∂ to (3) we find that there are constants $\mu_{ik}^i \in \mathbb{O}$ for $k \neq i$ such that

$$\partial(E_{ii}) = \sum_{k \neq i} (\mu_{ik}^i E_{ik} + \overline{\mu_{ik}^i} E_{ki})$$

and ∂ applied to $E_{ii} \circ E_{jj} = 0$ yields

$$(13) \quad \mu_{ij}^i = -\overline{\mu_{ji}^j}$$

The $\partial(E_{ii})$ are thus determined by the choice of μ_{ij}^i with $j > i$. Now let

$$\partial(zE_{ij} + \bar{z}E_{ji}) = \sum_{k,l} \alpha_{kl}^{ij}(z)E_{kl}$$

Similarly to in the proof of Proposition 3.2, applying ∂ to (4) and (5) leads to

$$\begin{aligned} \partial(zE_{ij} + \bar{z}E_{ji}) &= 2E_{jj}\text{Re}(z\mu_{ij}^i) - 2E_{ii}\text{Re}(\mu_{ij}^i\bar{z}) + \alpha_{ij}^{ij}(z)E_{ij} + \overline{\alpha_{ij}^{ij}(z)}E_{ji} \\ &\quad + \sum_{t \neq i,j} (z\mu_{jt}^jE_{it} + \overline{\mu_{jt}^j}\bar{z}E_{ti} + \overline{\mu_{it}^i}zE_{tj} + \bar{z}\mu_{it}^iE_{jt}) \end{aligned}$$

In particular, if the pair (k, l) is not equal to either (i, j) or (j, i) then $\alpha_{kl}^{ij} = 0$. We therefore abbreviate α_{ij}^{ij} to just α^{ij} , and note that ∂ is determined by the μ_{ij}^i and the α^{ij} with $j > i$. Now apply ∂ to both sides of (7). If $t \neq i, j, k$ then in the it^{th} place we find the equality

$$(zw)\mu_{kt}^k = z(w\mu_{kt}^k)$$

Thus μ_{kt}^k lies in the nucleus of \mathbb{O} , which is \mathbb{F} . By varying i, j, k we find that all μ_{ij}^i lie in \mathbb{F} .

Let $A = (\mu_{ij}^i)_{ij}$. Since all μ_{ij}^i lie in \mathbb{F} , equation (13) tells us that $A \in \mathfrak{so}_n(\mathbb{F})$, so $\text{ad}_A \in \text{der}(\mathfrak{h}_n(\mathbb{O}))$. Moreover,

$$\text{ad}_A(E_{ii}) = \sum_{k \neq i} (\mu_{ik}^i E_{ik} + \overline{\mu_{ik}^i} E_{ki}) = \partial(E_{ii})$$

Hence $\partial - \text{ad}_A$ is a derivation which maps all E_{ii} to zero, so by Proposition 3.2, $\partial - \text{ad}_A$ is given by an element of \mathfrak{g}_2 , and by Lemma 2.1 we are done. \square

The exceptional Lie algebra \mathfrak{e}_6 can be constructed as

$$\mathfrak{e}_6 = \text{der}(\mathfrak{h}_3(\mathbb{O})) + \{L_x\} \quad x \in \mathfrak{h}_3(\mathbb{O}), \text{tr}(x) = 0$$

where L_x denotes left multiplication by x . This is due to Chevalley and Schafer [8] (see also [9, Sect. 4.4]). A natural question therefore arises from Theorem 3.3:

Question 3.4. How does this construction of \mathfrak{e}_6 generalise to $\mathfrak{h}_n(\mathbb{O})$?

One barrier to generalisation is that the commutator of two left multiplications may fail to be a derivation, for while in the 3×3 case the derivation algebra has dimension $\dim(\mathfrak{f}_4) = 52$, in the 4×4 case its dimension is only $\dim(\mathfrak{g}_2 \oplus \mathfrak{so}_4(\mathbb{F})) = 20$. One remedy would be to include products of multiplication maps, and some work in this vein is done in [10].

4. ANTIHERMITIAN

Here we compute the algebras $\text{der}(\mathfrak{a}_n(\mathbb{O}))$ for all n . We find this to be more fiddly than the hermitian case. Again there are five types of nonzero product in $\mathfrak{a}_n(\mathbb{O})$:

$$(14) \quad [e_i E_{tt}, e_j E_{tt}] = 2e_i e_j E_{tt} \quad i \neq j$$

$$(15) \quad [e_i E_{tt}, zE_{tr} - \bar{z}E_{rt}] = e_i z E_{tr} + \bar{z} e_i E_{rt}$$

$$(16) \quad [e_i E_{rr}, zE_{tr} - \bar{z}E_{rt}] = -z e_i E_{tr} - e_i \bar{z} E_{rt}$$

$$(17) \quad [zE_{tr} - \bar{z}E_{rt}, wE_{tr} - \bar{w}E_{rt}] = 2\text{Im}(w\bar{z})E_{tt} + 2\text{Im}(\bar{w}z)E_{rr}$$

$$[zE_{tr} - \bar{z}E_{rt}, wE_{rs} - \bar{w}E_{sr}] = zwE_{ts} - \bar{w}\bar{z}E_{st}$$

and again we find restrictions on a derivation by applying it to (the first four of) these.

Theorem 4.1. $\text{der}(\mathfrak{a}_n(\mathbb{O})) = \mathfrak{g}_2 \oplus \mathfrak{so}_n(\mathbb{F})$ for all natural numbers n .

Proof. By Lemma 2.1 it suffices to bound the dimension of $\text{der}(\mathfrak{a}_n(\mathbb{O}))$ above by $14 + \frac{n(n-1)}{2}$. Applying a derivation ∂ to both sides of (14), we find that for $k \neq t$ there are linear maps $a_{tk}^t : \text{Pu}(\mathbb{O}) \rightarrow \mathbb{O}$ and $a_{tt}^t : \text{Pu}(\mathbb{O}) \rightarrow \text{Pu}(\mathbb{O})$ such that

$$\partial(e_i E_{tt}) = a_{tt}^t(e_i) E_{tt} + \sum_{k \neq t} (a_{tk}^t(e_i) E_{tk} - \overline{a_{tk}^t(e_i)} E_{kt})$$

and moreover, if $k \neq t$ and $i \neq j$ then

$$(18) \quad 2a_{tk}^t(e_i e_j) = e_i a_{tk}^t(e_j) - e_j a_{tk}^t(e_i)$$

Applying ∂ to $[e_i E_{tt}, e_i E_{rr}] = 0$ we get that $a_{tr}^t(e_i) e_i + e_i \overline{a_{rt}^r(e_i)} = 0$, and hence

$$(19) \quad a_{rt}^r(e_i) = \pm e_i \overline{a_{tr}^t(e_i)} e_i$$

Now let $\partial(z E_{tr} - \bar{z} E_{rt}) = \sum \beta_{kl}^{tr}(z) E_{kl}$. Applying ∂ to (15), we get in positions rr, tr, tk, rk, kl (with k, l, r, t pairwise distinct) the following respective equalities:

$$(20) \quad \beta_{rr}^{tr}(e_i z) = 2\text{Im}(\bar{z} a_{tr}^t(e_i))$$

$$(21) \quad \beta_{tr}^{tr}(e_i z) = e_i \beta_{tr}^{tr}(z) + a_{tt}^t(e_i) z$$

$$(22) \quad \beta_{tk}^{tr}(e_i z) = e_i \beta_{tk}^{tr}(z)$$

$$(23) \quad \beta_{rk}^{tr}(e_i z) = \bar{z} a_{tk}^t(e_i)$$

$$(24) \quad \beta_{kl}^{tr}(e_i z) = 0$$

Claim 1: The a_{tr}^t are scalar multiples of the identity map $I : \text{Pu}(\mathbb{O}) \rightarrow \text{Pu}(\mathbb{O})$.

Proof: Taking $z = e_i$ in (20) gives $\beta_{rr}^{tr}(1) = \pm 2\text{Im}(e_i a_{tr}^t(e_i))$, depending on whether \mathbb{O} is Type I or Type II and on the value of i , and it follows that all but the e_i^{th} part of $a_{tr}^t(e_i)$ is determined by $a_{tr}^t(e_1)$. Comparing $(e_i e_j)^{\text{th}}$ parts in (18) we find that the $(e_i e_j)^{\text{th}}$ part of $a_{tk}^t(e_i e_j)$ is half the sum of the e_j^{th} part of $a_{tk}^t(e_j)$ with the e_i^{th} part of $a_{tk}^t(e_i)$. Cycling $e_i \mapsto e_j \mapsto e_i e_j \mapsto e_i$, we get that the e_i^{th} part of $a_{tk}^t(e_i)$ is the same for all i .

Thus, if we set $c_{tr}^t = a_{tr}^t + \text{Re}(e_1 a_{tr}^t(e_1)) I$ then c_{tr}^t is simply a_{tr}^t except that the e_i^{th} part of $c_{tr}^t(e_i)$ is zero for all i , and by (20) we have $2e_i c_{tr}^t(e_i) = \beta_{rr}^{tr}(1)$. In particular,

$$(25) \quad c_{tr}^t(e_j) = \begin{cases} -e_j(e_i c_{tr}^t(e_i)) & \text{if } \mathbb{O} \text{ is Type I} \\ & \text{or } \mathbb{O} \text{ is Type II and } j \leq 3 \\ e_j(e_i c_{tr}^t(e_i)) & \text{if } \mathbb{O} \text{ is Type II and } j > 3 \end{cases}$$

Since c_{tr}^t differs from a_{tr}^t only by a multiple of the identity, (18) holds for c_{tr}^t . If \mathbb{O} is Type II and either $i \leq 3 < j$ or $j \leq 3 < i$, then combining (18) with (25) gives

$$2c_{tr}^t(e_i e_j) = e_i c_{tr}^t(e_j) - e_j c_{tr}^t(e_i) = \pm e_i(e_j(e_i c_{tr}^t(e_i))) - e_j c_{tr}^t(e_i) = 0$$

where the last equality holds by the left Moufang law (1) and equation (2). On the other hand, if $i, j \leq 3$, $i, j > 3$, or \mathbb{O} is Type I then

$$(26) \quad 2c_{tr}^t(e_i e_j) = e_i c_{tr}^t(e_j) - e_j c_{tr}^t(e_i) = -2e_i(e_j(e_i c_{tr}^t(e_i)))$$

But (25) tells us that $2c_{tr}^t(e_i e_j) = -2(e_i e_j)(e_i c_{tr}^t(e_i))$, so the associator $[e_i, e_j, e_i c_{tr}^t(e_i)] = 0$, and hence $c_{tr}^t(e_i)$ lies in the subalgebra generated by e_i and e_j . If \mathbb{O} is Type I then this holds for all choices of e_j , so $c_{tr}^t(e_i)$ lies in the complex subalgebra generated by e_i . But we constructed c_{tr}^t so that the e_i^{th} part of $c_{tr}^t(e_i)$ is zero, and hence $c_{tr}^t(e_i) \in \mathbb{F}$. Now comparing real parts in (18), we find that the real part of $c_{tr}^t(e_i e_j)$ is zero. Hence $c_{tr}^t = 0$ if \mathbb{O} is Type I.

Similarly, if \mathbb{O} is Type II and $i, j, k > 3$ then $c_{tr}^t(e_i)$ lies in both the subalgebra generated by e_i and e_j and in the subalgebra generated by e_i and e_k , so it lies in the subalgebra generated by e_i , and hence is an element of \mathbb{F} . If $i \leq 3$ then we can partition $\{e_4, e_5, e_6, e_7\}$ into two pairs e_{j_1}, e_{k_1} and e_{j_2}, e_{k_2} such that $e_i = e_{j_l} e_{k_l}$. Then by (26), $c_{tr}^t(e_i) = -e_{j_l}(e_{k_l}(e_{j_l} c_{tr}^t(e_{j_l})))$, which since $j_l > 3$ lies in the \mathbb{F} -span of e_{k_l} for both $l = 1$

and $l = 2$. Hence $c_{tr}^t = 0$ if \mathbb{O} is Type II as well. It follows from the construction of c_{tr}^t that there exist constants $\lambda_{tr}^t \in \mathbb{F}$ such that

$$(27) \quad a_{tr}^t = \lambda_{tr}^t I : \text{Pu}(\mathbb{O}) \longrightarrow \text{Pu}(\mathbb{O})$$

Applying ∂ to (16), we get in positions tt , tr , tk , rk (with $k \neq r, t$) the following respective equalities:

$$(28) \quad \beta_{tt}^{tr}(ze_i) = -2\text{Im}(\lambda_{tr}^t e_i \bar{z})$$

$$(29) \quad \beta_{tr}^{tr}(ze_i) = za_{rr}^r(e_i) + \beta_{tr}^{tr}(z)e_i$$

$$(30) \quad \beta_{tk}^{tr}(ze_i) = za_{rk}^r(e_i)$$

$$(31) \quad \beta_{rk}^{tr}(ze_i) = -e_i \beta_{rk}^{tr}(z)$$

Taking $z = 1$ in (23) and (30) and using Claim 1 gives

$$\beta_{rk}^{tr}|_{\text{Pu}(\mathbb{O})} = a_{tk}^t = \lambda_{tk}^t I, \quad \beta_{tk}^{tr}|_{\text{Pu}(\mathbb{O})} = a_{rk}^r = \lambda_{rk}^r I$$

and then by taking $z = e_i$ in (22) and (31) we conclude that

$$(32) \quad \beta_{tk}^{tr} = \lambda_{rk}^r I, \quad \beta_{rk}^{tr} = \lambda_{tk}^t I$$

Now, taking $z = 1$ in (20), (21), (28), (29) and $z = e_i$ in (20) and (28) gives:

$$(33) \quad \beta_{rr}^{tr}(e_i) = 2\lambda_{tr}^t e_i, \quad \beta_{rr}^{tr}(1) = 0$$

$$(34) \quad a_{tt}^t(e_i) = \beta_{tr}^{tr}(e_i) - e_i \beta_{tr}^{tr}(1)$$

$$(35) \quad \beta_{tt}^{tr}(e_i) = -2\lambda_{tr}^t e_i, \quad \beta_{tt}^{tr}(1) = 0$$

$$(36) \quad a_{rr}^r(e_i) = \beta_{tr}^{tr}(e_i) - \beta_{tr}^{tr}(1)e_i$$

Claim 2: There is an element $\beta \in \mathfrak{g}_2$ such that $a_{tt}^t = \beta_{tr}^{tr} = \beta$ for all t and r .

Proof: Applying ∂ to (17), in the tt^{th} place we find the equality

$$2a_{tt}^t(e_i) = \beta_{tr}^{tr}(1)e_i + e_i \overline{\beta_{tr}^{tr}(1)} + \beta_{tr}^{tr}(e_i) - \overline{\beta_{tr}^{tr}(e_i)}$$

Combining this with (34) gives

$$2\beta_{tr}^{tr}(e_i) - 2e_i \beta_{tr}^{tr}(1) = \beta_{tr}^{tr}(1)e_i + e_i \overline{\beta_{tr}^{tr}(1)} + 2\text{Im}(\beta_{tr}^{tr}(e_i))$$

and comparing e_i^{th} parts we find that

$$(37) \quad \text{Re}(\beta_{tr}^{tr}(1)) = 0$$

Taking $z = e_i$ in (29) and using (36) leads to

$$\pm \beta_{tr}^{tr}(1) = \beta_{tr}^{tr}(e_i)e_i + e_i \beta_{tr}^{tr}(e_i) - e_i \beta_{tr}^{tr}(1)e_i$$

and by (37), if we compare real parts in this then we get that the e_i^{th} part of $\beta_{tr}^{tr}(e_i)$ is zero. Combining (21) with (34) and (29) with (36) we get, respectively:

$$(38) \quad \beta_{tr}^{tr}(e_i e_j) = e_i \beta_{tr}^{tr}(e_j) + \beta_{tr}^{tr}(e_i) e_j - (e_i \beta_{tr}^{tr}(1)) e_j$$

$$\beta_{tr}^{tr}(e_i e_j) = e_i \beta_{tr}^{tr}(e_j) - e_i (\beta_{tr}^{tr}(1) e_j) + \beta_{tr}^{tr}(e_i) e_j$$

and hence $(e_i \beta_{tr}^{tr}(1)) e_j = e_i (\beta_{tr}^{tr}(1) e_j)$ for all i and j , so $\beta_{tr}^{tr}(1)$ is in the nucleus of \mathbb{O} , which is \mathbb{F} . By (37) we now have $\beta_{tr}^{tr}(1) = 0$, and it follows from (38) that

$$\beta_{tr}^{tr}(e_i e_j) = e_i \beta_{tr}^{tr}(e_j) + \beta_{tr}^{tr}(e_i) e_j$$

That is $\beta_{tr}^{tr} \in \text{der}(\mathbb{O}) = \mathfrak{g}_2$. Using (34) and (36) then varying r and t completes the proof of the claim. \blacksquare

Putting together (24), (32), (33), (35) with Claims 1 and 2 we find that ∂ is completely determined by the choice of $\beta \in \mathfrak{g}_2$ and the λ_{tk}^t with $k \neq t$. By (19) we only need $k > t$, so $\dim(\text{der}(\mathfrak{a}_n(\mathbb{O}))) \leq 14 + \frac{n(n-1)}{2}$, and by Lemma 2.1 we are done. \square

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